

Atty.
Dkt. No.

Client Ref.

282771

P020US5

AR INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

Applicant: Kwok et al.

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Examiner: A. Lewis

Group Art Unit: 3761

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Examiner's Initials*		Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
R1		2,123,353	07/1938	Catt			
R2		Des. 188,084	05/1960	Garelick			
R3		3,315,674	04/1967	Bloom et al.			
R4		Des. 231,803	06/1974	Huddy			
R5		Des. 250,131	10/1978	Lewis et al.			
R6		Des. 262,322	12/1981	Mizerak			
R7		4,328,797	05/1982	Rollins et al.			
R8		4,354,488	10/1982	Bartos			
R9		4,402,316	09/1983	Gadberry			
R10		4,655,213	04/1987	Rappaport et al.			
R11		4,665,570	05/1987	Davis			
R12		Des. 293,613	01/1988	Wingler			
R13		4,770,169	09/1988	Schmoegner et al.			
R14		4,782,832	11/1988	Trimble et al.			
R15		4,848,334	07/1989	Belim			
R16		1,081,745	12/1913	Johnston et al.			
R17		Des. 310,431	09/1990	Belim			
R18		4,971,051	11/1990	Toffolon			
R19		4,989,599	02/1991	Carter			
R20		5,005,571	04/1991	Dietz			
R21		5,038,776	08/1991	Harrison et al.			
R22		812,706	02/1906	Warbasse			
R23		5,343,878	09/1994	Scarberry et al.			
R24		5,429,683	07/1995	Le Mitouard			
R25		5,438,981	08/1995	Starr et al.			
R26		5,441,046	08/1995	Starr et al.			
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R28		5,540,223	07/1996	Starr et al.			
R29		2,578,621	12/1951	Yant			
R30		Des. 377,089	12/1996	Starr et al.			
R31		1,653,572	12/1927	Jackson			
R32		2,415,846	02/1947	Randall			
R33		2,166,164	07/1939	Lemberg			
R34		2,931,356	04/1960	Schwarz			
R35		3,330,273	07/1967	Bennett			
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FORM PTO-1449 (modified)
To: U.S. Department of Commerce
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Patent and Trademark Office

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A.L.	R37	5,243,971	09/1993	Sullivan et al.			
	R38	RE 35,339	10/1996	Rappaport			
	R39	5,372,130	12/1994	Stern et al.			
	R40	3,182,659	05/1965	Blount et al.			
	R41	3,193,624	07/1965	Webb et al.			
	R42	4,989,596	02/1991	Macris et al.			
	R43	5,140,980	08/1992	Haughey et al.			
	R44	5,546,936	08/1996	Virag et al.			
	R45	781,516	01/1905	Guthrie			
	R46	1,192,186	07/1916	Greene			
	R47	1,653,572	12/1927	Jackson			
	R48	2,376,871	05/1945	Fink			
	R49	3,013,556	12/1961	Galleher			
	R50	3,720,235	03/1973	Schrock			
	R51	3,799,164	03/1974	Rollins			
	R52	4,558,710	12/1985	Eichler			
	R53	4,677,975	07/1987	Edgar et al.			
	R54	4,719,755	04/1988	White et al.			
	R55	4,799,477	01/1989	Lewis			
	R56	4,819,629	04/1989	Jonson			
	R57	4,938,210	07/1990	Shene			
	R58	4,938,212	07/1990	Gnook et al.			
	R59	4,944,310	07/1990	Sullivan			
	R60	4,986,269	01/1991	Hakkinen			
	R61	5,063,922	11/1991	Hakkinen			
	R62	Des. 323,908	02/1992	Hollister et al.			
	R63	5,109,839	05/1992	Blasdell et al.			
	R64	5,159,938	11/1992	Laughlin			
	R65	5,178,138	01/1993	Walstrom et al.			
	R66	5,231,983	08/1993	Matson et al.			
	R67	5,233,978	08/1993	Callaway			
	R68	5,280,784	01/1994	Kohler			
	R69	5,311,862	05/1994	Blasdell et al.			
	R70	5,322,057	06/1994	Raabe et al.			
	R71	4,803,981	02/1989	Vickery			

Examiner

Aaron J. Lee

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Examiner's Initials*		Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
<i>AL</i>	R72	5,388,571	02/1995	Roberts et al.			
	R73	5,404,871	04/1995	Goodman et al.			
	R74	5,479,920	01/1996	Piper et al.			
	R75	5,509,404	04/1996	Lloyd et al.			
	R76	5,538,000	07/1996	Rudolph			
	R77	5,608,647	03/1997	Rubsamen et al.			
	R78	5,642,730	07/1997	Baran			
	R79	5,649,532	07/1997	Oren			
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	R81	5,655,520	08/1997	Howe et al.			
	R82	5,657,493	08/1997	Ferrero et al.			
	R83	5,813,423	09/1998	Kirchgeorg			
	R84	5,832,918	11/1998	Pantino			
	R85	2,317,608	04/1943	Heidbrink			
	R86	3,580,051	05/1971	Blevins			
	R87	4,467,799	08/1984	Steinberg			
	R88	4,616,647	10/1986	McCreadie			
	R89	4,809,692	03/1989	Nowacki et al.			
	R90	4,910,806	03/1990	Baker et al.			
	R91	5,046,200	09/1991	Feder			
	R92	5,069,205	12/1991	Urso			
	R93	5,121,745	06/1992	Israel			
	R94	5,429,126	07/1995	Bracken			
	R95	5,542,128	08/1996	Lomas			
	R96	5,570,682	11/1996	Johnson			
	R97	5,666,946	09/1997	Langenback			
	R98	5,746,201	05/1998	Kidd			
	R99	1,926,027	09/1933	Biggs			
	R100	2,248,477	07/1941	Lombard			
	R101	2,254,854	09/1941	O'Connell			
	R102	2,371,965	03/1945	Lemberg			
	R103	2,438,058	03/1948	Kincheloe			
	R104	3,189,027	06/1965	Bartlett			
	R105	2,939,458	06/1960	Lundquist			
<i>AL</i>	R106	4,907,584	03/1990	McGinnis			
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Examin er's Initials*		Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
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	R110	3,362,420	01/1968	Blackburn et al.			
	R111	3,363,833	01/1968	Laerdal			
	R112	3,556,122	01/1971	Laerdal			
	R113	3,700,000	10/1972	Hesse (deceased) et al.			
	R114	3,796,216	03/1974	Schwarz			
	R115	4,077,404	03/1978	Elam			
	R116	4,167,185	09/1979	Lewis			
	R117	4,226,234	10/1980	Gunderson			
	R118	4,245,632	01/1981	Houston			
	R119	4,304,229	12/1981	Curtin			
	R120	4,347,205	08/1982	Stewart			
	R121	4,412,537	11/1983	Tiger			
	R122	4,522,639	06/1985	Ansite et al.			
R123	4,622,964	11/1986	Flynn				
R124	4,671,271	06/1987	Bishop et al.				
R125	4,677,977	07/1987	Wilcox				
R126	4,774,941	10/1988	Cook				
R127	4,821,713	04/1989	Bauman				
R128	4,841,953	06/1989	Dodrill				
R129	4,848,366	07/1989	Alta et al.				
R130	4,919,128	04/1990	Kopala et al.				
R131	5,005,568	04/1991	Loescher et al.				
R132	5,042,473	08/1991	Lewis				
R133	5,042,478	08/1991	Kopala et al.				
R134	5,109,840	05/1992	Daleiden				
R135	5,133,347	07/1992	Huennenbeck				
R136	5,140,982	08/1992	Bauman				
R137	Des. 334,633	04/1993	Rudolph				
R138	5,265,595	11/1993	Rudolph				
R139	5,279,289	01/1994	Kirk				
R140	5,357,951	10/1994	Ratner				
R141	5,419,318	05/1995	Tayebi				
R142	5,431,158	07/1995	Tirotta				
A.L.	R143	Des. 362,061	09/1995	McGinnis et al.			
	R144	5,094,193	03/1992	Yoshikawa			

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AJS	R145	5,477,852	12/1995	Landis et al.			
	R146	5,488,948	02/1996	Dubruille et al.			
	R147	5,501,214	03/1996	Sabo			
	R148	5,517,986	05/1996	Starr et al.			
	R149	5,715,814	02/1998	Ebers			
	R150	5,687,715	11/1997	Landis et al.			
	R151	5,647,355	07/1997	Starr et al.			
	R152	5,647,357	07/1997	Barnett et al.			
	R153	5,685,296	01/1997	Zdrojkowski et al.			
	R154	2,710,602	06/1955	Maybach			
	R155	4,658,763	04/1987	Gobien et al.			
	R156	4,889,079	12/1989	Takeda et al.			
	R157	5,884,624	03/1999	Barnett et al.			
	R158	5,662,101	09/1997	Ogden et al.			
	R159	5,657,752	08/1997	Landis et al.			
AJS	R160	5,592,938	01/1997	Scarberry et al.			
	R161	5,70,689	11/1996	Starr et al.			
	R162	5,560,354	10/1996	Berthon-Jones et al.			
	R163	5,003,633	04/1991	Itoh			
	R164	4,699,092	10/1987	Ruf et al.			
	R165	6,082,360	06/2000	Rudolph et al.			

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		Document Number	Date MM/YYYY	Country	Inventor Name	English Abstract		Translation Readily Available	
						Enclosed	No	Enclose	No
AJS	R166	AU 9459430	02/1996	Australia					
	R167	EP 0 252 052	07/1987	EPO					
	R168	EP 0 264 772	10/1987	EPO					
	R169	EP 0 462 701 A1	05/1991	EPO					
AJS	R170	EP 0 747 078 A2	12/1996	EPO					
	R171	DE 3345067 A1	06/1984	Germany					
	R172	DE 4004157 C1	04/1991	Germany					

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Doreen J. Lan

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		Document Number	Date MM/YYYY	Country	Inventor Name	English Abstract		Translation Readily Available	
						Enclosed	No	Enclos	No
R173		WP 92/20395	11/1992	PCT					
R174		WO 93/01854	02/1993	PCT					
R175		GB 2147506 A	05/1985	Great Britain					
R176		WO 80/01044	05/1980	PCT					
R177		WO 95/02428	01/1995	PCT					
R178		WO 96/17643	06/1996	PCT					
R179		WO 96/39206	12/1996	PCT					
R180		WO 97/07847	03/1997	PCT					
R181		701 690	01/1941	Germany					
R182		WO 82/03548	10/1982	PCT					
R183		DE 298 10846 U1	08/1998	Germany					
R184		WO 98/48878	11/1998	PCT					
R185		AU A 89312/98	01/1999	Australia					
R186		DE 459,104	04/1928	Germany					
R187		1039144	09/1998	Canada					
R188		1,395,391	05/1975	Great Britain					
R189		GB 1 467 828	03/1977	Great Britain					
R190		EP 0 054 154	10/1981	EPO					
R191		DE 3015279 A1	10/1981	Germany					
R192		GB 2 164 569A	03/1986	Great Britain					
R193		FR 2 254 657 A1	06/1986	France					
R194		WO 86/06969	12/1986	PCT					
R195		AU 64058/86	04/1987	Australia					
R196		WO 87/01950	04/1987	PCT					
R197		DE 3537507 A1	04/1987	Germany					
R198		DE 3539073 A1	05/1987	Germany					
R199		EP 0 386 605 A1	02/1990	EPO					
R200		WO 91/03277	03/1991	PCT					
R201		FR 2 658 725 A1	08/1991	France					
R202									
R203									
R204									

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		Document Number	Date MM/YYYY	Country	Inventor Name	English Abstract		Translation Readily Available	
						Enclosed	No	Enclose	No
a.j.R	R205	WO 92/15353	09/1992	PCT					
	R206	EP 0 602 424	11/1993	EPO					
	R207	GB 2267 648 A	12/1993	Great Britain					
	R208	EP 0608 84 A1	08/1994	EPO					
	R209	WO 94/16759	08/1994	PCT					
	R210	WO 94/20051	09/1994	PCT					
	R211	EP 0 697 225	07/1995	PCT					
	R212	AU A 32914/95	02/1996	Australia					
	R213	EP 178 925 A2	04/1996	EPO					
	R214	EP 0634186 A2	06/1993	EPO					
	R215	EP 303 090 B1	07/1988	EPO					
	R216	WP 96/25983	08/1996	PCT					
	R217	FR 2 749 176	12/1997	France					
	R218	DE 197 35 359	01/1998	Germany					
	R219	EP 0821 978	02/1998	EPO					
	R220	WO 98/04310	02/1998	PCT					
	R221	WO 98/11930	03/1998	PCT					
	R222	AU A 41018/97	04/1998	Australia					
	R223	WO 98/18514	05/1998	PCT					
	R224	WO 98/24499	06/1998	PCT					
	R225	WO 98/26829	06/1998	PCT					
	R226	WO 98/26830	06/1998	PCT					
	R227	DE 297 23 101	07/1998	Germany					
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	R230	EP 0427 474A2	05/1991	EPO					
	R231	AU 91/77110 B	11/1991	Australia					
	R232	WO 94/02190	02/1994	PCT					
	R233	AU 94/64816 B	12/1994	Australia					
	R234	DE 4343205 A1	06/1995	Germany					
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						Enclosed	No	Enclose	No
ALL	R237	0 252 052	01-1988	EPO					
	R238	0 264 772	04/1988	EPO					
	R239	0 462 701	12/1991	EPO					
	R240	19548 380A1	12/1995	Germany					
	R241	0 747 078	12/1996	EPO					
	R242	195 48 380A1	07/1996	Germany					
	R243	0 303 090 B1	07/1988	EPO					
	R244	0 634 186 A2	06/1994	EPO					
ALL	R245	WO 97/41911	11/1997	PCT					

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

ALL	R246	Mask 1 photographs, Respiroics, Inc., Reusable Full mask (small) Part # 452033 lot # 951108				
	R247	Mask 2 Photographs, Puritain-Bennett, Adam Circuit, Shell part # 231700, Swivel part # 616329-00, Pillows (medium) Part # 616324				
	R248	Mask 3 Photographs, DeVilbiss Healthcare Inc., DeVilbiss Seal-ring and CPAP Mask Kit (medium) Part 73510-669				
	R249	Mask 4 Photographs, Respiroics, Inc., onarch Mini Mask With Pressure Port, part #572004.Monarch Headgear, Part # 572011				
	R250	Mask 5 Photographs, Healthdyne Technologies, Nasal CPAP Mask (medium narrow), part # 702510				
	R251	Mask 6 Photographs, Healthdyne Technologies, Soft Series Nasal CPAP Mask, part 3702020				
	R252	Mask 7 Photographs, DeVilbiss Healthcare, Inc., Small mask and Seal Rings, part # 73510-668				
	R253	Mask 8 Photographs, Respiroics, Inc., REUSABLE Contour mask (medium), part # 302180				
	R254	Mask 9 Photographs, Healthdyne Technologies, Healthdyne Large Headgear				
	R255	Mask 10 Photographs, Respiroics, inc., Soft cap (medium), Part #302142				
	R256	Mask 11 Photographs, Weinmann: Hamburg, Nasalmaskensystem Mit Schalldämpfer (medium), Part # WN 23105				
	R257	Mask 12 Photographs, Life Care				
	R258	Mask 13 Photographs, Healthdyne Technologies				
	R259	Mask 14, King System				
	R260	Mask 15 Photographs, Respiroics, Inc., Paediatric Mask				
ALL	R261	Mask 16 Photographs, Hans Rudolph Inc., Hans Rudolph Silicone Rubber Face Mask/8900				

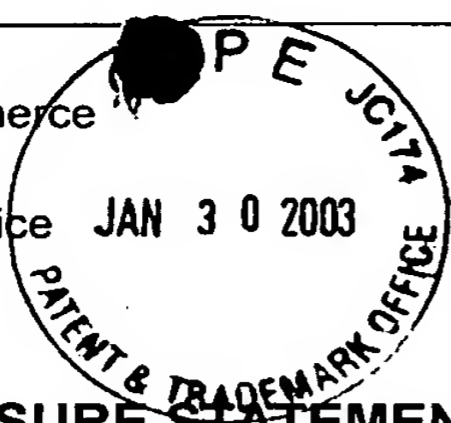
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<i>A.J.L.</i>	AR	5,349,949	09/1994	Schegerin			
<i>A.J.L.</i>	BR	5,660,174	08/1997	Jacobelli			
<i>A.J.L.</i>	CR	6,102,040	08/2000	Tayebi et al.			
<i>A.J.L.</i>	DR	6,082,360	07/2000	Rudolph et al.			
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		Document Number	Date MM/YYYY	Country	Inventor Name		English Abstract		Translation Readily Available	
							Enclosed	No	Enclose	No
<i>A.J.L.</i>	LR	GB 2211098A	06/1989	Great Britain	Simpson				x	
<i>A.J.L.</i>	MR	WO 94/19055	09/1994	PCT	Richards et al.				x	
	NR									
	OR									
	PR									
	QR									
	RR									
	SR									
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	AAR				

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Aaron J. Lewis

Date Considered: 6/26/03

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282771

**INFORMATION DISCLOSURE STATEMENT
BY APPLICANT**

Applicant: KWOK et al.

Appln. No.: 10/004,428

Filing Date: December 6, 2001

Date: January 14, 2003

Page

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of

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Examiner: A. Lewis

Group Art Unit: 3761

U.S. PATENT DOCUMENTS

Examiner's Initials*		Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
A.L.	AR	1,105,127	07/1914	Drager	1	1	
A.L.	BR	2,875,757	01/1954	Galleher, Jr.	1	1	
A.L.	CR	2,625,155	01/1953	Engelder	1	1	
A.L.	DR	3,330,274	07/1967	Bennett	1	1	
A.L.	ER	3,725,953	04/1973	Johnson et al.	1	1	
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A.L.	GR	4,922,921	05/1990	Donoghue	1	1	
	HR						
	IR						
	JR						
	KR						
	LR						
	MR						
	NR						

FOREIGN PATENT DOCUMENTS

		Document Number	Date MM/YYYY	Country	Inventor Name		English Abstract		Translation Readily Available	
							Enclosed	No	Enclose	No
	OR									
	PR									
	QR									

OTHER (including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

A.L.	RR	Respironics, Inc. "Nasal Mask and Accessories Guide," 12/23/91, 6 pages.							
A.L.	SR	"InterVENTions, A Ventilatory Care Newsletter," Vol. 93, No. 1, March 1993, Respironics, Inc., 16 pages.							
A.L.	TR	"Order" from <u>Respironics, Inc. v. ResCare Limited et al.</u> case, Civil Action No. 95-151, with Exhibits E and G related to information allegedly available before July 26, 1995, 20 pages.+							
A.L.	UR	Instructions for Use for the Comfort Flap Small Child Contour Nasal Mask Accessory, Respironics Inc., July 19, 1993, 2 pages.							
A.L.	VR	"Comfort Flap™ Improves the Seal on Reusable Contour Nasal Masks," InterVENTions, Vol. 3, no. 1, March, 1993, 2 pages.							

Examiner

Alexander J. Lewis

Date Considered:

4/26/03

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INFORMATION DISCLOSURE STATEMENT
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Applicant: Kwok et al.

Appln. No.: 10/004,428

Filing Date: December 6, 2001

Date: February 14, 2003

Page

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1

Examiner: Aaron J. Lewis

Group Art Unit: 3761

U.S. PATENT DOCUMENTS

Examiner's Initials*		Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
A.J.L.	AR	1632449	06/1927	McKesson			
A.J.L.	BR	3044464	07/1962	Gray			
A.J.L.	CR	4305387	12/1981	Reist-Kündig et al.			
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		Document Number	Date MM/YYYY	Country	Inventor Name		Abstract		Readily Available	
							Enclosed	No	Enclose	No
A.J.L.	LR	801629	08/1936	France				x		x
A.J.L.	MR	858749	12/1940	France				X		x
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A.J.L.	OR	775911	05/1957	Great Britain						
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	QR									
	RR									
	SR									
	TR									
	UR									

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

	VR				
	WR				
	XR				
	YR				
	ZR				
	AAR				

Examiner

Aaron J. Lewis

Date Considered:

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